# AUTOLOGOUS CHONDROCYTE IMPLANTATION (ACI) CLINICAL PRACTICE GUIDELINE

### Background

Autologous chondrocyte implantation (third generation) is a two stage surgical procedure indicated for medium to large ( $\geq 2 \text{ cm}^2$ ) symptomatic full thickness chondral lesions. Stage one is performed arthroscopically, where a small sample of healthy cartilage is harvested from a non-weight bearing area of the knee. The chondrocyte sample is sent to a laboratory where the cells are cultivated on a scaffold for 4-6 weeks. Stage two is performed through an open procedure, or arthrotomy. The cartilage defect is exposed and debrided to an area with vertical margins. The scaffold implant is placed in the defect and secured fibrin sealant. These third generation ACI techniques eliminate the suture fixation previously required with second-generation ACI procedures. The various implantation procedures are as follows:

- · Matrix-Induced Autologous Chondrocyte Implantation (MACI)- thin scaffold seeded with chondrocytes
- · NeoCart- chondrocytes growing and producing extracellular matrix throughout scaffold
- NovoCart- full thickness scaffold seeded with chondrocytes

#### Disclaimer

Progression is time and criterion-based, dependent on soft tissue healing, patient demographics and clinician evaluation. If you are working with an Ohio State Sports Medicine patient and questions arise, please call 614-293-2385.

#### Summary of Recommendations

Expectations	<ul> <li>PT and CPM should begin at post-op day 7-10 days</li> <li>Return to sport: 12-15 months</li> <li>Please review operative report as lesion size and location may dictate speed of progression</li> </ul>
Risk Factors	<ul> <li>CI requires extensive rehabilitation and can often exhaust insurance approved PT visits. Consider decreasing initial visit frequency, use of home NMES unit and daily self-ROM.</li> <li>Long-term quadriceps strength deficits typically present &gt;1 year post-operatively.</li> </ul>
Concomitant Procedures	<ul> <li>Do not change protocol based on multiple defects, meniscus repair or ligamentous reconstruction         <ul> <li>If multiple defects include a patellofemoral lesion, following the patellofemoral precautions</li> </ul> </li> <li>TTO Adjustments:         <ul> <li>Open brace to 0-35° at weeks 5-6</li> <li>All CKC interventions performed through protected ROM (90-45°) before transition to full ROM</li> </ul> </li> </ul>



THE OHIO STATE UNIVERSITY

Weight Bearing Progression	<ul> <li>Tibiofemoral (No Brace)</li> <li>Phase 1 (week 1): NWBing</li> <li>Phase 2 (week 2-3): 25% BW (weeks 1-2) to 50% BW (week 3)</li> <li>Phase 3 (weeks 4-5): 60% BW (week 4) to 80% BW (week 5)</li> <li>Phase 4 (weeks 6-7): 90% to100% BW</li> <li>Phase 5 (weeks 8-10): Full BW with normal gait pattern</li> </ul>	<ul> <li>Patellofemoral (TROM Extension Brace)</li> <li>Phase 1-3 (weeks 1-5): Full BW, brace locked in full extension <ul> <li>Open brace at week 5-6</li> </ul> </li> <li>Phase 4 (weeks 6-7): Discharge brace</li> <li>Phase 5 (weeks 8-10): Normal gait without brace</li> </ul>
Range of Motion Progression	<ul> <li>Phase 1/2 (weeks 1-3): 0-45° (week 2) to 0-</li> <li>Phase 3 (weeks 4-5): 0-105° (week 4) to 0-</li> <li>Phase 4 (weeks 6-7): 0-125° (week 6) to 0-</li> <li>Phase 5 (weeks 8-10): Full AROM</li> <li>*Same ROM progression for tibiofemoral and</li> </ul>	90° (week 3) 120° (week 5) 135° (week 7) nd patellofemoral lesions*
Functional Testing	<ul> <li>Isometric testing: 4-5 months (at 90 degrees</li> <li>Isokinetic testing: 6, 9, 12 months and disch</li> <li>Hop testing (Appropriate after 80% symmet <ul> <li>SL hop for distance</li> <li>Triple hop</li> <li>Cross over hop</li> <li>Timed 6m hop</li> </ul> </li> <li>*Functional strength testing and hop testing shot level activity*</li> </ul>	s) large ry achieved on isokinetic testing) uld be reserved for patients returning to high-
Patient Reported Outcomes	<ul> <li>Collect at least one of the following at initial eval consistent with which outcome tool is collected.</li> <li>Knee Injury and Osteoarthritis Outcome Scotone International Knee Documentation Committee</li> </ul>	luation, every 6 weeks and discharge. Be ore (KOOS) ee (IKDC)
Criteria to Discharge Assistive Device	<ol> <li><u>ROM</u>: Full active knee extension; no pain or</li> <li><u>Strength</u>: Able to perform strong quad isome and able to perform 2x10 SLR without quad</li> <li><u>Effusion</u>: 1+ or less is preferred (2+ accepta</li> <li><u>Weight Bearing</u>: Demonstrates pain-free ant *<i>Tibiofemoral lesions: PWBing for 6-8 weeks. Su</i></li> </ol>	n passive overpressure etric with full tetany and superior patellar glide lag ble if all other criteria are met) bulation without visible gait deviation ee above WBing progression*
Criteria to Initiate Running and Jumping	<ol> <li><u>ROM</u>: full, pain-free knee ROM, symmetrica</li> <li><u>Strength</u>: Isokinetic testing 80% or greater for</li> <li><u>Effusion</u>: 1+ or less</li> <li><u>Weight Bearing</u>: normalized gait and jogging</li> <li><u>Neuromuscular Control</u>: Pain-free hopping in</li> </ol>	I with the uninvolved limb or hamstring and quad at 60°/sec and 300°/sec g mechanics n place
Criteria for Return to Sport	<ol> <li><u>ROM</u>: full, painfree knee ROM, symmetrical</li> <li><u>Strength</u>: Isokinetic testing 90% or greater fr</li> <li><u>Effusion</u>: No reactive effusion ≥ 1+ with spot</li> <li><u>Weight Bearing</u>: normalized gait and jogging</li> <li><u>Neuromuscular control</u>: appropriate mechar level agility, plyometrics, and high impact m</li> <li><u>Functional Hop Testing</u>: LSI 90% or greater</li> <li><u>Physician Clearance</u></li> </ol>	with the uninvolved limb or hamstring and quad at 60°/sec and 300°/sec rt-specific activity g mechanics nics and force attenuation strategies with high ovements for all tests



The Ohio State University

#### **Chondrocyte Rehabilitation Maturation Phases**

\*Phases of post-operative rehabilitation and the associated graft maturation timeline\*

Rehabilitation Phase	Stage of Repair Tissue	
Phase 1: weeks 0-1		
Phase 2: weeks 2-3	Implantation and protection (0-6 weeks)	
Phase 3: weeks 4-6		
Phase 4: weeks 7-12	Transition and proliferation (6-12 weeks)	
Phase 5: months 3-6	Demedeling (12.26 weeks)	
Phase 6: months 6-9	Remodeling (12-20 weeks)	
Phase 7: months 9-RTS	Maturation (26 weeks onward)	
	*The graft will continue to remodel for up to 1 year post-op*	

#### **Red/Yellow Flags**

Red Flags (signs/symptoms that require immediate referral for re-evaluation)	•	<ul> <li>Signs of DVT (<i>Refer directly to ED</i>)</li> <li>Localized tenderness along the distribution of deep venous system</li> <li>Entire LE swelling</li> <li>Calf swelling &gt;3cm compared to asymptomatic limb</li> <li>Pitting edema</li> <li>Collateral superficial veins</li> <li>Mechanical block or clunk (<i>Refer to surgeon for re-evaluation</i>)</li> <li>Lack of full knee extension by 4-6 weeks (<i>Refer to surgeon for re-evaluation</i>)</li> </ul>
Yellow Flags (signs/symptoms that require modification to plan of care)	•	<ul> <li>Persistent reactive pain or effusion following therapy or ADLs</li> <li>Decrease intensity of therapy interventions, continue effusion management and provide patient education regarding activity modification until reactive symptoms resolve</li> </ul>

#### Phase I: Weeks 0-1

Patients will not begin physical therapy until post-operative days 7-10. Phase 1 will be completed independently through a home exercise program provided on the day of surgery. Formal physical therapy will begin in Phase 2.

Goal	Maintain joint mobility and muscle tone while adhering to all post-operative precautions
Range of Motion	<ul> <li>0-45°</li> <li>CPM to start at day 7-10</li> </ul>
Weight Bearing	<u>Tibiofemoral</u> : ≤20% BW <u>Patellofemoral:</u> Full BW, brace locked in full extension
Suggested Interventions	<ul> <li>Ankle pumps</li> <li>Quadriceps, hamstring and gluteal isometrics</li> <li>Diaphragmatic breathing</li> <li>Effusion management strategies, including RICE</li> </ul>



### The Ohio State University

#### Phase II: Weeks 2-3

Goals	The patient should achieve pain-free and full passive knee extension. Focus is placed on maintaining muscle tone, ensuring proper wound healing and effusion management.
Range of Motion	<ul> <li>0-90°</li> <li>*Achieved though CPM and AAROM (heel slides, wall slides, AAROM row machine)*</li> <li>Total volume: 300+ repetitions per day</li> <li>Goal: early AROM though safe range</li> </ul>
Weight Bearing	<u>Tibiofemoral</u> : 30% to 50% BW <u>Patellofemoral:</u> Full BW, brace locked in full extension
Suggested Interventions	<ul> <li>Ankle pumps</li> <li>Quadriceps, hamstring and gluteal isometrics</li> <li>Prone TKE</li> <li>SLR-4 way</li> <li>Patellar mobilization in all directions</li> <li>Gait training</li> <li>Extension ROM: Seated towel stretch, prone hang, bag hang</li> <li>Flexion ROM: heel slides, wall slides, AAROM row machine</li> <li>Recumbent cycling- for ROM only (week 3)</li> <li>SAQ (no resistance)</li> <li>LAQ (no resistance, through protected ROM (90-45 degrees)</li> <li>Continue CPM, effusion management and NMES in long sitting</li> <li>For PF lesions only: (<i>Must be performed in locked knee brace</i>) <ul> <li>Weight shifting</li> <li>DL heel raise</li> <li>SL balance</li> </ul> </li> <li>NMES in long sitting</li> </ul>
NMES Parameters (in long sitting)	<ul> <li>NMES pads are placed on the proximal and distal quadriceps</li> <li>Patient: Seated in long sitting (knees extended)</li> <li>The patient is instructed to relax while the e-stim generates at least 50% of their max volitional quadriceps contraction OR maximal tolerable amperage without knee joint pain</li> <li>10-20 seconds on/ 50 seconds off x 15 min</li> </ul>
Criteria to Progress to Phase 3	<ul> <li>By the end of week 3:</li> <li>Pain-free knee flexion of 90°</li> <li>Pain-free and full passive knee extension</li> <li>Proficient heel-to-toe gait with 50% BW for tibiofemoral grafts or full BW for patellofemoral grafts</li> <li>Reduced and well-controlled post-operative pain and edema</li> <li>Ability to perform a strong isometric quadriceps contraction (full tetany and superior patellar glide)</li> <li>Proficiency with home-exercise program</li> </ul>



The Ohio State University

### Phase III: Weeks 4-6

Goals	Emphasis is placed on increasing knee flexion ROM and improving quadriceps, gluteal and core strength
Range of Motion	<ul> <li>0-105° (week 4) to 0-125° (week 6)</li> <li>*Achieved though CPM and AAROM (heel slides, wall slides, AAROM row machine)*</li> <li>Total volume: 300+ repetitions per day</li> <li>Goal: early AROM though safe range</li> </ul>
Weight Bearing	<u>Tibiofemoral</u> : 60% BW (week 4) to 80% BW (week 5) <u>Patellofemoral:</u> Full BW, open brace at weeks 5-6
Suggested Interventions	<ul> <li>Continue Phase 1 and 2 interventions</li> <li>SLR-Flexion progressions <ul> <li>Semi-reclined or seated</li> <li>Add ER</li> <li>Perform with eyes closed (cortical training)</li> <li>Speed</li> <li>Isometric holds at end-range</li> </ul> </li> <li>Heel slides <ul> <li>Clamshells</li> <li>Seated or standing hip ab/adduction (depending on WBing status)</li> </ul> </li> <li>Trunk stability interventions <ul> <li>Trink stability interventions</li> <li>Trink stability interventions</li> <li>Prone/side planks</li> </ul> </li> <li>Upright cycling (weeks 5-6)</li> <li>Standing TKE (weeks 6-8)</li> <li>OKC Hamstring strengthening (week 6-7)</li> <li>Progress NMES to seated with tibia fixed at 60° of knee flexion</li> <li>Discharge CPM at 6 weeks</li> <li>Continue effusion management strategies</li> </ul>
NMES Parameters (with tibia fixed at 60° of knee flexion)	<ul> <li>NMES pads are placed on the proximal and distal quadriceps</li> <li>Patient: Seated with the knee in at least 60° flexion, shank secured with strap and back support with thigh strap preferred. The ankle pad/belt should be two finger widths superior to the lateral malleoli</li> <li>The patient is instructed to relax while the e-stim generates at least 50% of their max volitional contraction against a fixed resistance OR maximal tolerable amperage without knee joint pain</li> <li>10-20 seconds on/ 50 seconds off x 15 min</li> </ul>
Criteria to Progress to Phase 4	<ul> <li>By the end of week 6:</li> <li>Pain-free active knee flexion to 125°</li> <li>Pain-free gait with 80% BW for tibiofemoral grafts or full BW for patellofemoral grafts</li> <li>3x10 SLR without quadriceps lag</li> <li>Proficiency with home exercise program</li> </ul>



The Ohio State University

# Phase IV: Weeks 7-12

Goals	The patient works toward movement independent of ambulation devices and knee braces. Full ROM should be achieved and balance/proprioception interventions are initiated.
Range of Motion	0-125° (week 6), 0-135° (week 7) to full ROM (week 8-10)
Weight Bearing	<u>Tibiofemoral</u> : 90% BW (week 6), 100% BW (week 7) to full WBing without obvious gait deviation (week 10) <u>Patellofemoral</u> : Discharge brace
Suggested Interventions	<ul> <li>Continue Phase 2 and 3 interventions</li> <li>Continue ROM interventions until symmetrical ROM is achieved</li> <li>Partial BW Shuttle Press (week 6-8)</li> <li>OKC Hamstring strengthening (week 6-7)</li> <li>Multi-angle isometrics</li> <li>Balance and proprioception interventions</li> <li>Mini squats: 0-45 degrees (week 8-10)</li> <li>Heel Taps: 2-4" (weeks 10-12)</li> <li>Step Ups: 6-8" (weeks 10-12)</li> <li>Resisted OKC quadriceps strengthening through 90-45° protected ROM (week 10-12)</li> <li>Continue NMES (seated with tibia fixed at 60° of knee flexion)</li> <li>Continue effusion management strategies as needed</li> </ul>
Criteria to Discharge Assistive Device	<ol> <li><u>ROM</u>: Full active knee extension; no pain on passive overpressure</li> <li><u>Strength</u>: Able to perform strong quad isometric with full tetany and superior patellar glide and able to perform 2x10 SLR without quad lag</li> <li><u>Effusion</u>: 1+ or less is preferred (2+ acceptable if all other criteria are met) <u>Weight Bearing</u>: Demonstrates pain-free ambulation without visible gait deviation</li> </ol>
Criteria to Progress to Phase 5	By week 12: Pain-free active ROM Pain-free upright cycle ergometry Pain-free ambulation without visible gait deviation Proficiency in home exercise program



# Phase V: Months 3-6

Goals	The majority of patients return to work either on a part-time or full-time basis. Patients should continue skilled physical therapy to progress functional, CKC strengthening.
Range of Motion	Full AROM
Weight Bearing	Full WBing, normal gait without brace
Suggested Interventions	<ul> <li>Continue Phase 3 and 4 interventions</li> <li>Bridging</li> <li>Standing SL calf raises</li> <li>Resisted OKC quadriceps strengthening through full ROM (week 12-14)</li> <li>Lunges</li> <li>SL sit to stand, through protected ROM</li> <li>Elliptical</li> <li>Outdoor cycling if desired (months 5-6)</li> <li>Rowing ergometry as tolerated (months 5-6)</li> <li>Continue NMES until 80% symmetry is obtained</li> <li>Continue effusion management as needed</li> </ul>
lsometric Testing	Isometric testing is appropriate at 4-4.5 months
Criteria to Progress to Phase 6	<ul> <li>By 6 months:</li> <li>Ability to negotiate stairs and mild gradients without pain or reactive effusion</li> <li>Return to work, depending on the demands of the job</li> <li>Ability to perform 3x10 heel raise on 6" step with neutral frontal and sagittal plane alignment</li> <li>Proficiency in home exercise program</li> </ul>

# Phase VI: Months 6-9

Goals	Patient progress OKC interventions. Strength testing is performed to determine readiness to initiate light plyometrics and walk-jog progression.
Range of Motion	Full AROM
Weight Bearing	Full WBing, normal gait without brace
Suggested Interventions	<ul> <li>Continue phase 3-5 interventions</li> <li>Progress and increased difficulty of OKC exercises</li> <li>Continue to progress SL eccentric strengthening through body weight and machine interventions</li> <li>Once strength criteria have been met, perform the following progression:         <ul> <li>PBW jumping on the shuttle (DL → SL)</li> <li>Full body weight jumping progression</li> </ul> </li> <li>Walk-jog program</li> </ul>



lsokinetic Testing	Isokinetic testing is appropriate at 6 and 9 months *Functional strength testing should be reserved for patients returning high-level activity*
Criteria to Initiate Running and Jumping	<ol> <li><u>ROM</u>: full, pain-free knee ROM, symmetrical with the uninvolved limb</li> <li><u>Strength</u>: Isokinetic testing 80% or greater for hamstring and quad at 60°/sec and 300°/sec</li> <li><u>Effusion</u>: 1+ or less</li> <li><u>Weight Bearing</u>: normalized gait and jogging mechanics</li> <li><u>Neuromuscular Control</u>: Pain-free hopping in place</li> </ol>
Criteria to Progress to Phase 7	<ul> <li>By 9 months:</li> <li>Quadriceps and hamstring symmetry of 80% or greater</li> <li>Ability to tolerate walking distances of 3 miles or greater without reactive pain or effusion</li> <li>Ability to effectively negotiate uneven ground, including soft sand, without reactive pain or effusion</li> <li>Ability to return to pre-operative low-impact recreational activities, including cycling, elliptical and weight training</li> </ul>

# Phase VII: Months 9-Return to Sport

Goals	The patient is able to resume all normal functionality and will continue to progress towards return to sport.
Range of Motion	Full AROM
Weight Bearing	Full WBing, normal gait without brace
Suggested Interventions	<ul> <li>Continue phase 3-6 interventions</li> <li>Step-hold progression to SL hop progression</li> <li>Sports-specific training</li> <li>Agility</li> <li>Plyometrics</li> </ul>
lsokinetic Testing	Isokinetic testing is appropriate at 12 months and discharge *Functional strength testing and hop testing should be reserved for patients returning high-level activity*
Criteria to Return to Sport	<ol> <li><u>ROM</u>: full, painfree knee ROM, symmetrical with the uninvolved limb</li> <li><u>Strength</u>: Isokinetic testing 90% or greater for hamstring and quad at 60°/sec and 300°/sec</li> <li><u>Effusion</u>: No reactive effusion ≥ 1+ with sport-specific activity</li> <li><u>Weight Bearing</u>: normalized gait and jogging mechanics</li> <li><u>Neuromuscular control</u>: appropriate mechanics and force attenuation strategies with high level agility, plyometrics, and high impact movements</li> <li><u>Functional Hop Testing</u>: LSI 90% or greater for all tests</li> <li><u>Physician Clearance</u></li> </ol>
	Activities that generate high compression, shear and rotational loads are to be avoided until 12-18 months, or as directed by orthopaedic surgeon
	Full RTS expected between 12-15 months post-operatively



The Ohio State University

Author: Caroline Lewis, PT, DPT, SCS, AT Reviewers: Laura C. Schmitt, PT, MPT, PhD; David C. Flanigan, MD, Jay Ebert, PhD

#### References

Minas T, Peterson L. Autologous chondrocyte implantation. Op Tech in Orth. 1997;7(4):323-333.

O'Driscoll S, Keeley F, Salter R. Durability of regenerated articular cartilage produced by free autogeneous periosteal grafts in major full-thickness defects in joint surfaces under the influence of continuous passive motion. *J Bone Joint Surg Am.* 1988;70:595-606.

Rodrigo J, Steadman R, Fulstone H. Improvement of full-thickness chondral defect healing in the human knee after debridement and microfracture using continuous passive motion. *Am J Knee Surg.* 1994;7:109-16.

Salter RB. The physiologic basis of continuous passive motion for articular cartilage healing and regeneration. *Hand Clin.* 1994;10(2):211-9.

McAllister DR, Joyce MJ, Mann BJ, Vangsness CT Jr. Allograft update: the current status of tissue regulation, procurement, processing, and sterilization. *Am J Sports Med.* 2007;35:2148-2158.

Minas T. The role of cartilage repair techniques, including chondrocyte transplantation, in focal chondral knee damage. *Instructional Course Lectures.* 1999;48:629-43.

Ebert JR, Ackland T, Lloyd DG, Wood DJ. Accuracy of partial weight bearing after autologous chondrocyte implantation. *Arch Phys Med Rehabil.* 2008;89(8):1528-34.

Ebert JR, Robertson WB, Lloyd DG, Zheng MH, Wood DJ, Ackland T. Traditional vs accelerated approaches to post-operative rehabilitation following matrix-induced autologous chondrocyte implantation (MACI): comparison of clinical, biomechanical and radiographic outcomes. *Osteoarthritis Cartilage*. 2008;16:1131-40.

Enright PL. The six-minute walk test. Respir Care. 2003;48(8):783-5.

